**Course 1 –**

Programme on **‘An Overview of Global Securities Markets’**

**Programme Design:**

* + 1. **One week full time programme**
    2. The teaching methodology includes class room teaching, case studies, case Laws, hands-on experience of share trading in simulated environment in NISM Finance Lab, study tour/field visits etc.
    3. **Course contents are as follows**:

***Classroom Sessions***

* + - * Global v/s Indian Securities Market – History and Overview
      * Financial Markets – Products, Process and Platform (Indian and Global perspective)
      * Legal and Regulatory Framework – Indian perspective
      * Primary Market Operations
      * Secondary Market Operations
      * Market Infrastructure Institutions
      * Trading and Settlement
      * Derivatives – Equity & Commodities
      * Risk Management
      * Mutual Funds and other Pooled Investment Vehicles like Alternate Investment Funds, Real Estate Investment Trusts & InvITs
      * Fixed Income Securities
      * Frauds, Scams and Manipulations related to Indian Securities Markets as well as Global Markets
      * Investigation and Enforcement in Indian Securities Markets
      * Behavioural Finance
      * Enforcement
      * Role and Functions of IOSCO & other International Organization
      * Case Laws related to Securities Markets

***Practical Sessions***

* Hands-on trading in Simulated Environment (at Finance Lab of NISM)
* Study Tour to market infrastructure institutions like Stock Exchanges, Clearing Corporations, Depository, etc.
  + 1. **Trainers/faculties:**

Faculties will be drawn from NISM, Regulatory bodies, Market Participants/Practitioners and experts from the market.

* + 1. **Course delivery mechanism:**
* Classroom training using audio-visual aids
* Practical sessions
* Case based studies
* Study Tour/Field Visit
* Financial Movies
  + 1. **Target Audience:**

Organizations working in the area of finance/capital market like Regulatory bodies, Government dealing with finance and law, Banks, Insurance Co. Market Intermediaries & other financial institutions.

* + 1. **Duration:** One Week (5 working days)
    2. **Proposed dates –**

July 22-26, 2019

September 16-20, 2019

* + 1. **Cost of training:\***

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| Sr. No. | Course Component | Amount in Rupees  (per participant) |
| 1 | Course Fee (per week) | 5250 |
| 2 | Study Tour | 2500 |
| 3 | Hostel Accommodation  (Rs. 600 per day x 5 days) | 3000 |
| 4 | Airport pick-up and drop  (both ways, inclusive of taxes) | 2000 |
| 5 | Living Allowance per day  (Rs. 1200 per day x 5 days) | 6000 |
| 6 | Book Allowance (per course) | 5000 |
| 7 | Inaugural/ valedictory allowance | 300 |

\* As per letter no. DPA-II/231/55/2017 dated June 27, 2018

Jitendra Kumar

Member of Faculty, NISM

November 14, 2018