**Course 1 –**

Programme on **‘An Overview of Global Securities Markets’**

**Programme Design:**

* + 1. **One week full time programme**
		2. The teaching methodology includes class room teaching, case studies, case Laws, hands-on experience of share trading in simulated environment in NISM Finance Lab, study tour/field visits etc.
		3. **Course contents are as follows**:

 ***Classroom Sessions***

* + - * Global v/s Indian Securities Market – History and Overview
			* Financial Markets – Products, Process and Platform (Indian and Global perspective)
			* Legal and Regulatory Framework – Indian perspective
			* Primary Market Operations
			* Secondary Market Operations
			* Market Infrastructure Institutions
			* Trading and Settlement
			* Derivatives – Equity & Commodities
			* Risk Management
			* Mutual Funds and other Pooled Investment Vehicles like Alternate Investment Funds, Real Estate Investment Trusts & InvITs
			* Fixed Income Securities
			* Frauds, Scams and Manipulations related to Indian Securities Markets as well as Global Markets
			* Investigation and Enforcement in Indian Securities Markets
			* Behavioural Finance
			* Enforcement
			* Role and Functions of IOSCO & other International Organization
			* Case Laws related to Securities Markets

***Practical Sessions***

* Hands-on trading in Simulated Environment (at Finance Lab of NISM)
* Study Tour to market infrastructure institutions like Stock Exchanges, Clearing Corporations, Depository, etc.
	+ 1. **Trainers/faculties:**

Faculties will be drawn from NISM, Regulatory bodies, Market Participants/Practitioners and experts from the market.

* + 1. **Course delivery mechanism:**
* Classroom training using audio-visual aids
* Practical sessions
* Case based studies
* Study Tour/Field Visit
* Financial Movies
	+ 1. **Target Audience:**

Organizations working in the area of finance/capital market like Regulatory bodies, Government dealing with finance and law, Banks, Insurance Co. Market Intermediaries & other financial institutions.

* + 1. **Duration:** One Week (5 working days)
		2. **Proposed dates –**

July 22-26, 2019

September 16-20, 2019

* + 1. **Cost of training:\***

|  |  |  |
| --- | --- | --- |
| Sr. No.  | Course Component | Amount in Rupees (per participant) |
| 1 | Course Fee (per week) | 5250  |
| 2 | Study Tour  | 2500 |
| 3 | Hostel Accommodation (Rs. 600 per day x 5 days) | 3000 |
| 4 | Airport pick-up and drop(both ways, inclusive of taxes)  | 2000 |
| 5 | Living Allowance per day(Rs. 1200 per day x 5 days) | 6000 |
| 6 | Book Allowance (per course) | 5000 |
| 7 | Inaugural/ valedictory allowance  | 300 |

\* As per letter no. DPA-II/231/55/2017 dated June 27, 2018

Jitendra Kumar

Member of Faculty, NISM

November 14, 2018