

PROGRAMME ON FAMILIARIZATION OF SEBI'S POLICIES AND REGULATORY FRAMEWORK

Programme aim and objective:

This programme aims to make the participants gain the working knowledge of Familiarization of SEBI's Policy and Regulatory Framework and understanding of the Indian Economy and Capital Market. Broadly, this programme would consist some foundation of Indian Securities Markets and Indian Economy and would cover major aspects of functions and policies of SEBI.

Programme overview:

The proposed programme will provide in-depth understanding of the nuances of Indian economy, policies & programmes of the Central Bank, opportunities available for investment, securities market eco-system, powers & functions of the securities market regulator SEBI, policy making at SEBI, variety of products available in the securities markets, transactions in primary & secondary markets and recourses available for resolution of investor grievances.

Online Classroom Platform:

The programme is proposed to be conducted in the CISCO WebEx platform, as it will enable the participants to continuously interact with the participants. This platform has features of audio and video facilities, which can be utilized throughout the programme. The link to join the online classroom of this programme will be shared directly with the participants on their registered email id.

Study Material:

NISM will provide the Reading Material to the participants which will help in ease of learning:

- i. Soft copy of suitable NISM certification program materials.
- ii. Recording of the session will be made accessible to all participants for a period of 6 weeks from the end of the program.

Programme Date & Duration: November 24-26, 2020 & 3 days

Target Audience:

Professionals and academicians working in financial markets/ capital markets like employees of banks, exchanges, intermediaries such as Brokers, Sub-brokers, Portfolio Managers, Mutual Funds, Investment Advisors, Treasury operations of various Financial Institutions, Officials of the Regulatory Bodies, Government Officials, Students, Faculties of Business Schools, etc.

Classroom Sessions /Schedule:

Session Time (Indian Standard Time)	Topic	Speaker
Day 1	November 24, 2020 Tuesday	
10:00 am to 10:15 am	Inauguration	Mr. Sunil Kadam, Registrar, NISM
10:15 am to 10:30 am	Welcome Address	Dr. V R Narasimhan, Dean, NISM
10:30 am to 11:30 am	Indian Economy – Broader Characteristic; budget exercise; fiscal policies.	Dr. Rajiv Shastri, Professor of Practice, NISM
11:30 pm to 11:45 am	Tea/Coffee Break	
11:45 am to 12:45 pm	Central Bank and Monetary Policy	Mr. M Krishnamoorthy, Member of Faculty, NISM
12:45 pm to 2:00 pm	Lunch Break	
2:00 pm to 3:00 pm	Savings and Investments	Dr. Rajiv Shastri, Professor of Practice, NISM
Day 2	November 25, 2020 Wednesday	
10:30 am to 11:30 am	Indian Securities Markets Eco-system	Dr. V R Narasimhan, Dean, NISM
11:30 pm to 11:45 am	Tea/Coffee Break	
11:45 am to 12:45 pm	SEBI – Role, Powers and Regulations	Mr. Sahil Malik, General Manager, NISM
12:45 pm to 2:00 pm	Lunch Break	
2:00 pm to 3:00 pm	SEBI Policy Making Process	Mr. Sahil Malik, General Manager, NISM
Day 3	November 26, 2020 Thursday	
10:30 am to 11:30 am	Securities Market – Products in India	Mr. Ritesh Nandwani, Member of Faculty,
11:30 pm to 11:45 am	Tea/Coffee Break	
11:45 am to 12:45 pm	IPO and Secondary markets – Overview	Dr. Nitin Tike, General Manager, NISM
12:45 pm to 2:00 pm	Lunch Break	
2:00 pm to 3:00 pm	Investor Grievance Redressal Mechanism	Mr. Deep Mani Shah, General Manager, NISM

Programme Fees: Rs. 4500 per participant plus applicable taxes

Programme Director:

Mr. M Krishnamoorthy, Member of Faculty, NISM
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Programme Co-ordinator:

Ms. Shubhangi Chaturvedi, Assistant Manager, NISM
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In case of any query, please send an email to Ms. Shubhangi Chaturvedi.