**E-ITEC Programme on Pooled Investment Vehicles from December 06-10, 2021**

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| **Course Name** | **Programme on Pooled Investment Vehicles** |
| **Start Date** | 06 December 2021 |
| **End Date**  | 10 December 2021 |
| **Aim & Objective** | To provides an overview of financial markets, evolution of Indian Securities Markets, introduction to collective investment schemes, understanding of mutual funds, investment objectives and strategies, investment restrictions and process, pricing and valuation of assets of schemes, credit evaluation and risk management mechanism, regulatory framework, understanding ofvarious pooled investment schemes like CIS, Mutual Fund, AIFs, REITs, InvITsetc including regulatory framework. |
| **Mode of Evaluation** | Online Quiz/Other exercises |
| **Education Qualification** | Graduate |
| **Work & Experience** | Person Working in Financial Markets, Regulatory bodies and Governmentofficers |
| **Target Group** | Officials with minimum 5 yearsexperience working in the area of finance/capital market like regulatory bodies, government dealing with finance and law, banks, insurance co. market intermediaries & other financialinstitutions. |
| **Platform to be used for the online classes** | Cisco-Webex online platform |
| **Technical Specification for the Participants** | Laptop,Desktop or Mobile Phone with good speed internet |
| **Minimum Seats**  | 15 |
| **Maximum Seats**  | 100 |

**Details of Programme on ‘Pooled Investment Vehicles’**

**Programme Design:**

**1. One week full time programme**

The teaching methodology includes class room teaching, case studies, quiz etc.

**2.Course Contents are as follows:**

1. **Online Classroom Sessions**

a)Introduction to Financial Markets

b)Overview and Evolution of Indian Securities Market.

c)Macro economics linkage to savings and investments.

d)Savings instruments - Government instruments (savings schemes, Fixed deposits) and Securities (transferable) - descriptions and characteristics.

e)An Introduction to Collective Investment Schemes.

f)Risk appetite and choice of savings vehicle

g)Understanding Mutual Funds

*i)Investment Objectives and Strategies*

 *ii)Types of Mutual Funds*

 *iii)Investment Restrictions and Process of Mutual Funds*

 *iv)Pricing and Valuation of Assets of Mutual Funds Schemes*

 *v)Credit Evaluation and Risk Management Mechanism at Mutual Funds*

 *vi)Regulatory Framework*

h)Portfolio Management

i)Understanding Alternative Investment Funds (AIFs)

*i)What are Alternative Investments?*

 *ii)Types of Alternative Investments*

 *iii)Role of Alternative Investments in Portfolio Diversification and Strategic Asset*

 *Allocation*

 *iv)Difference between Alternative Investments and Traditional Investments*

 *v)Characteristics of Alternative Investments*

 *vi)Hedge fund strategies*

 *vii)Overview of the AIFs industry in India as well as Globally*

 *viii)Regulatory Framework*

j)Introduction to Real Estate Investment Trust (REITs) and Infrastructure

Investment Trust (InvITs)

*i)Structure of REITs and InvITs in India & Globally*

*ii)Risk & Return*

*iii)Regulatory Framework*

*iv)Functioning REITs/InvITs in other jurisdictions*

*v)Road Ahead – Issues, Challenges and Opportunities*

**2. Practical Sessions/Simulation Lab**

NISM Simulation lab provides the participants a unique learning experience about functioning of markets. The simulation lab is a state-of-art facility that promotesself learning through mock-trading sessions with daily market data in equity, derivative and commodity segments. The simulation exercises will be provided in the online mode.

**3. Trainers/faculties:**

Faculties will be drawn from NISM, Regulatory bodies, Market Participants/ Practicioners.

**4. Course Delivery Mechanism:**

• Online lectures using audio-visual aids

• Practical sessions

• Online quiz

**5.Target Group:**Theprogramme would be most suitable for professionals workingin financial markets/ capital markets like employees of banks, exchanges,

intermediaries such as Brokers, Sub-brokers, Portfolio Managers, Mutual Funds,

Investment Advisors, Treasury operations of various Financial Institutions, Officials of the Regulatory Bodies, Government Officials, Students, Faculties of Business Schools,etc.Applicant is expected to have a basic understanding of functioning of Financial/capital Market.

**6. Duration:** One Week

**7. Proposed dates:** December 06-10, 2021

**8.Tentative Session Timings** :3:00PM-6:15PM as per Indian Standard Time